



PTO/SB/21 (02-04)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/646,897
		Filing Date	11/21/03
		First Named Inventor	WARREN M. FARNWORTH
		Art Unit	
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	01-1059.1

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
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<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Stephen A. Gratton, Reg. No. 28,418 THE LAW OFFICE OF STEPHEN A. GRATTON
Signature	
Date	March 15, 2004

CERTIFICATE OF TRANSMISSION/MAILING

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	Date 3/15/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

WARREN M. FARNWORTH
ALAN G. WOOD
TRUNG TRI DOAN

Serial No. 10/646,897

ART UNIT:

Filing Date: 11/21/2003

EXAMINER:

FOR: ENCAPSULATED SEMICONDUCTOR
COMPONENTS AND METHOD OF FABRICATION

Docket No. 01-1059.1

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97 PRIOR TO THE FIRST OFFICE ACTION ON THE MERITS**

MARCH 15, 2004

MAIL STOP NON FEE AMENDMENT
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The Information Disclosure Statement transmitted herewith is being filed within 3 months of filing or before the first Office Action on the merits, whichever is later.

A duplicate of this transmittal is attached. If any fees are due, please charge the Deposit Account 07-1857.

Respectfully submitted:

Stephen A. Graton
Registration No. 28,418
Attorney of Record

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Lakewood, CO 80228
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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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March 15, 2004
Date of Signature

Stephen A. Graton, Attorney of Record



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

WARREN M. FARNWORTH
ALAN G. WOOD
TRUNG TRI DOAN

Serial No. 10/646,897

ART UNIT:

Filing Date: 11/21/2003

EXAMINER:

FOR: ENCAPSULATED SEMICONDUCTOR
COMPONENTS AND METHOD OF FABRICATION

Docket No. 01-1059.1

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56
March 15, 2004

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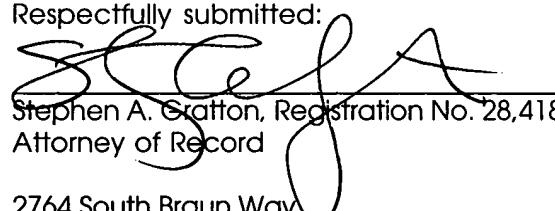
Sir:

The citations listed on the accompanying Form PTO/SB/08, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art under 35 USC §102.

It is requested that the above citations be made of record, if appropriate, in the prosecution of this application.

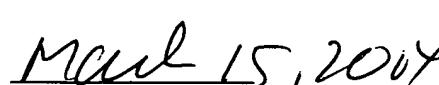
Respectfully submitted:


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Date of Signature


Stephen A. Gratton, Attorney of Record



PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Complete if Known

Application Number	10/646,897
Filing Date	08/22/2003
First Named Inventor	WARREN M. FARNWORTH
Art Unit	
Examiner Name	

Sheet 1 of 1

Attorney Docket Number 01-1059.1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
A	US- 6,107,164		08/22/2000	Ohuchi	
B	US- 6,350,664 B1		02/26/2002	Haji et al.	
C	US- 6,353,267 B1		03/05/2002	Ohuchi et al.	
D	US- 6,524,890 B2		02/25/2003	Ueda et al.	
E	US- 6,534,387 B1		03/18/2003	Shinogi et al.	
F	US- 6,579,748 B1		06/17/2003	Okuno et al.	
G	US- 6,607,970 B1		08/19/2003	Wakabayashi	
H	US- 6,649,445 B1		11/18/2003	Qi et al.	
	US-				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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